# Chapter 17: Alcohols and Phenols

#### **Alcohols and Phenols**

Alcohols contain an OH group connected to a a saturated C (sp<sup>3</sup>). They are important solvents and synthesis intermediates. Phenols contain an OH group connected to a carbon in a benzene ring. Methanol, CH<sub>3</sub>OH, called methyl alcohol, is a common solvent, a fuel additive, produced in large quantities. Ethanol, CH<sub>3</sub>CH<sub>2</sub>OH, called ethyl alcohol, is a solvent, fuel, beverage. Phenol, C<sub>6</sub>H<sub>5</sub>OH ("phenyl alcohol") has diverse uses - it gives its name to the general class of compounds



#### **Preparation of Alcohols: an Overview**

- Alcohols are derived from many types of compounds
- The alcohol hydroxyl can be converted to many other functional groups
- This makes alcohols useful in synthesis



#### Naming Alcohols

General classifications of alcohols based on substitution on C to which OH is attached.



#### **IUPAC** Rules for Naming Alcohols

- Select the longest carbon chain containing the hydroxyl group.
- derive the parent name by replacing the -e ending of the corresponding alkane with -o/.
- Number the chain from the end nearer the hydroxyl group.
- Number substituents according to position on chain, listing the substituents in alphabetical order.



#### Many Alcohols Have Common Names

These are accepted by IUPAC



2-methyl-3-pentanol





3-phenyl-2-butanol

cis-1,4-cyclohexadiol

#### Naming Phenols

- Use "phene" (the French name for benzene) as the parent hydrocarbon name, not benzene.
- Name substituents on aromatic ring by their position from OH.



3-butylphenol





2-aminophenol

4-nitrophenol

Properties of Alcohols and Phenols: Hydrogen Bonding

- The structure around O of the alcohol or phenol is similar to that in water, sp<sup>3</sup> hybridized
- Alcohols and phenols have much higher boiling points than similar alkanes and alkyl halides



#### Alcohols Form Hydrogen Bonds

- A positively polarized —OH hydrogen atom from one molecule is attracted to a lone pair of electrons on a negatively polarized oxygen atom of another molecule This produces a force that holds the two molecules together
- These intermolecular attractions are present in solution but not in the gas phase, thus elevating the boiling point of the solution



#### Properties of Alcohols and Phenols: Acidity and Basicity

- Weakly basic and weakly acidic
- Alcohols are weak Brønsted bases
- Protonated by strong acids to yield oxonium ions, ROH<sub>2</sub>+



#### Alchols and Phenols are Weak Brønsted Acids

- Can transfer a proton to water to a very small extent
- Produces H<sub>3</sub>O<sup>+</sup> and an alkoxide ion, RO<sup>-</sup>, or a phenoxide ion, ArO<sup>-</sup>



#### pK<sub>a</sub> Values for Typical OH Compounds

ABLE 17.1 Acidity Constants of Some Alcohols and Phenols		
Alcohol or phenol	p <i>K</i> <sub>a</sub>	
$(CH_3)_3COH$	18.00	Weaker acid
$\rm CH_3 CH_2 OH$	16.00	
HOH (water)	(15.74)	
$CH_{3}OH$	15.54	
$\rm CF_3CH_2OH$	12.43	
p-Aminophenol	10.46	
p-Methoxyphenol	10.21	
p-Methylphenol	10.17	
Phenol	9.89	
p-Chlorophenol	9.38	
p-Bromophenol	9.35	
p-Nitrophenol	7.15	
2,4,6-Trinitrophenol	0.60	Stronger acid

#### **Relative Acidities of Alcohols**

Simple alcohols are about as acidic as water. Alkyl groups make an alcohol a weaker acid. Steric effects are important.



#### Inductive Effects

Electron-withdrawing groups make an alcohol a stronger acid by stabilizing the conjugate base (alkoxide)



#### **Generating Alkoxides from Alcohols**

- Alcohols are weak acids requires a strong base to form an alkoxide such as NaH, sodium amide NaNH<sub>2</sub>, and Grignard reagents (RMgX)
  - Alkoxides are bases used as reagents in organic chemistry



#### **Phenol Acidity**

Phenols (pK<sub>a</sub> ~10) are much more acidic than alcohols (pK<sub>a</sub> ~ 16) due to resonance stabilization of the phenoxide ion
 Phenols react with NaOH solutions (but alcohols do not), forming soluble salts that are soluble in dilute aqueous
 A phenolic component can be separated from an organic solution by extraction into basic aqueous solution and is isolated after acid is added to the solution

$$\sim 0 - H + NaOH \rightarrow \sim \sim 0^{-} Na^{+} + H_2O$$



Sodium phenoxide

#### **Substituted Phenols**

Can be more or less acidic than phenol itself

An electron-withdrawing substituent makes a phenol more acidic by delocalizing the negative charge

Phenols with an electron-donating substituent are less acidic because these substituents concentrate the charge



Electron-withdrawing groups (EWG) stabilize phenoxide anion, resulting in increased phenol acidity © 2004 Thomson/Brooks Cole



Electron-donating groups (EDG) destabilize phenoxide anion, resulting in decreased phenol acidity

#### Nitro-Phenols

Phenols with nitro groups at the ortho and para positions are much stronger acids

The pKa of 2,4,6-trinitrophenol is 0.6, a very strong acid



### Review: Preparation of Alcohols by Regiospecific Hydration of Alkenes

Hydroboration/oxidation: syn, non-Markovnikov hydration Oxymercuration/reduction: Markovnikov hydration



#### Alcohols from Reduction of Carbonyl Compounds

- Reduction of a carbonyl compound in general gives an alcohol
- Note that organic reduction reactions add the equivalent of H<sub>2</sub> to a molecule



where [H] is a generalized reducing agent

A carbonyl compound

An alcohol

#### **Reduction of Aldehydes and Ketones**

- Aldehydes gives primary alcohols
- Ketones gives secondary alcohols



#### **Reduction Reagent: Sodium Borohydride**

NaBH<sub>4</sub> is not sensitive to moisture and it does not reduce other common functional groups
 Lithium aluminum hydride (LiAIH<sub>4</sub>) is more powerful, less specific, and very reactive with water
 Both add the equivalent of "H-"



#### Reduction of Carboxylic Acids and Esters

- Carboxylic acids and esters are reduced to give primary alcohols
- LiAIH<sub>4</sub> is used because NaBH<sub>4</sub> is not effective
- **Carboxylic acid reduction**

$$CH_{3}(CH_{2})_{7}CH = CH(CH_{2})_{7}COH \xrightarrow{1. \text{ LiAlH}_{4}, \text{ ether}} CH_{3}(CH_{2})_{7}CH = CH(CH_{2})_{7}CH_{2}OH \xrightarrow{9-\text{Octadecenoic acid}} 9-\text{Octadecen-1-ol (87\%)}$$

$$Ester reduction$$

$$CH_{3}CH_{2}CH = CHCOCH_{3} \xrightarrow{1. \text{ LiAlH}_{4}, \text{ ether}} CH_{3}CH_{2}CH = CHCH_{2}OH + CH_{3}OH \xrightarrow{9-\text{Octadecen-1-ol (87\%)}} CH_{3}CH_{2}CH = CHCH_{2}OH + CH_{3}OH \xrightarrow{9-\text{Octadecen-1-ol (91\%)}} CH_{3}CH_{2}CH = CHCH_{2}OH + CH_{3}OH + CH_{3$$

## Alcohols from Reaction of Carbonyl Compounds with Grignard Reagents

- Alkyl, aryl, and vinylic halides react with magnesium in ether or tetrahydrofuran to generate Grignard reagents, RMgX
  - Grignard reagents react with carbonyl compounds to yield alcohols



#### Examples of Reactions of Grignard Reagents with Carbonyl Compounds



#### Reactions of Esters and Grignard Reagents

- Yields tertiary alcohols in which two of the substituents carbon come from the Grignard reagent
- Grignard reagents do not add to carboxylic acids they undergo an acid-base reaction, generating the hydrocarbon of the Grignard reagent



### Grignard Reagents and Other Functional Groups in the Same Molecule

Can't be prepared if there are reactive functional groups in the same molecule, including proton donors.

where 
$$\mathbf{FG} = -\mathbf{OH}, -\mathbf{NH}, -\mathbf{SH}, -\mathbf{CO}_{2}\mathbf{H}$$

$$\mathbf{FG} = -\mathbf{CH}, -\mathbf{CR}, -\mathbf{CNR}_{2}, \\ -\mathbf{C} \equiv \mathbf{N}, -\mathbf{NO}_{2}, -\mathbf{SO}_{2}\mathbf{R}$$

The Grignard reagent is protonated by these groups.

The Grignard reagent adds to these groups.

### Mechanism of the Addition of a Grignard Reagent

Grignard reagents act as nucleophilic carbon anions (carbanions, : R–) in adding to a carbonyl group. The intermediate alkoxide is then protonated to produce the

alcohol.



#### Some Reactions of Alcohols

- Two general classes of reaction
  - At the carbon of the C–O bond
  - At the proton of the O–H bond



#### Dehydration of Alcohols to Yield Alkenes

- The general reaction: forming an alkene from an alcohol through loss of O-H and H (hence dehydration) of the neighboring C–H to give π bond
- Specific reagents are needed

A dehydration reaction

 $C - C \rightarrow C = C + H_2 O$ 

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#### Acid- Catalyzed Dehydration

Tertiary alcohols are readily dehydrated with acid Secondary alcohols require severe conditions (75%  $H_2SO_4$ , 100°C) - sensitive molecules don't survive Primary alcohols require very harsh conditions – impractical Reactivity is the result of the nature of the carbocation intermediate



#### Dehydration with POCl<sub>3</sub>

- Phosphorus oxychloride in the amine solvent pyridine can lead to dehydration of secondary and tertiary alcohols at low temperatures
- An E2 via an intermediate ester of POCl<sub>2</sub>



#### **Conversion of Alcohols into Alkyl Halides**

3° alcohols are converted by HCI or HBr at low temperature

1° and alcohols are resistant to acid – use SOCl<sub>2</sub> or PBr<sub>3</sub> by an S<sub>N</sub>2 mechanism



#### **Conversion of Alcohols into Tosylates**

Reaction with *p*-toluenesulfonyl chloride (tosyl chloride, *p*-TosCl) in pyridine yields alkyl tosylates, ROTos
 Formation of the tosylate does not involve the C–O bond so configuration at a chirality center is maintained
 Alkyl tosylates react like alkyl halides



#### **Stereochemical Uses of Tosylates**

The  $S_N^2$  reaction of an alcohol via a tosylate, produces inversion at the chirality center

The S<sub>N</sub>2 reaction of an alcohol via an alkyl halide proceeds with *two* inversions, giving product with same arrangement as starting alcohol



#### Oxidation of Alcohols

Can be accomplished by inorganic reagents, such as  $KMnO_4$ ,  $CrO_3$ , and  $Na_2Cr_2O_7$  or by more selective, expensive reagents



#### **Oxidation of Primary Alcohols**

To aldehyde: pyridinium chlorochromate (PCC, C<sub>5</sub>H<sub>6</sub>NCrO<sub>3</sub>Cl) in dichloromethane

Other reagents produce carboxylic acids



#### **Oxidation of Secondary Alcohols**

- Effective with inexpensive reagents such as Na<sub>2</sub>Cr<sub>2</sub>O<sub>7</sub> in acetic acid
- PCC is used for sensitive alcohols at lower temperatures



4-tert-Butylcyclohexanol

4-tert-Butylcyclohexanone (91%)



Testosterone (steroid; male sex hormone) © 2004 Thomson/Brooks Cole 4-Androstene-3,17-dione (82%)

#### **Preparation and Uses of Phenols**

Industrial process from readily available cumene. Forms cumene hydroperoxide with oxygen at high temperature. Converted into phenol and acetone by acid.



#### Laboratory Preparation of Phenols

- From aromatic sulfonic acids by melting with NaOH at high temperature.
- Limited to the preparation of alkyl-substituted phenols.



#### **Reactions of Phenols**

- The hydroxyl group is a strongly activating, making phenols substrates for electrophilic halogenation, nitration, sulfonation, and Friedel–Crafts reactions
- Reaction of a phenol with strong oxidizing agents yields a quinone
- Fremy's salt [(KSO<sub>3</sub>)<sub>2</sub>NO] works under mild conditions through a radical mechanism



#### **Quinones in Nature**

Ubiquinones mediate electron-transfer processes involved in energy production through their redox reactions



#### Summary - Alcohols

- Synthesis
  - Reduction of aldehydes and ketones
  - Addition of Grignard reagents to aldehydes and ketones
- Protection of OH as TMS) ether
- Reactions
  - Conversion to alkyl halides
  - Dehydration
  - Oxidation

#### Summary - Phenols

- Much more acidic ( $pK_a \approx 10$ ) than alcohols
- Substitution of the aromatic ring by an electronwithdrawing group increases phenol acidity
- Substitution by an electron-donating group decreases acidity
- Oxidized to quinones
- Quinones are reduced to hydroquinones